

# Stratification and instabilities in natural fluid environments

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*What is the relationship between a fog layer, a rainbow cocktail or piles of geological layers? These structures are all superimpositions of distinct layers, like a yarrow! The air in the atmosphere, the water in lakes or oceans and colourful cocktails are fluid media subject to gravity. When they are in equilibrium, the heaviest parts are located at the bottom, the lightest parts at the top, this separation can give rise to a stratification phenomenon. But things get more complicated when instabilities set these fluids in motion. Meteorology, climatology and the dispersion of pollutants find serious challenges here.*

## 1. Fluids at rest... almost

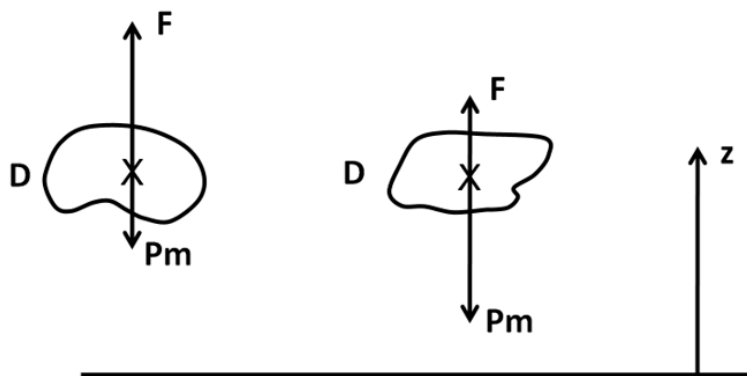
It is the **law of hydrostatics** that governs the state of equilibrium of a fluid structure at rest. It requires that the **pressure** decreases with **altitude** so that, at the bottom of a horizontal layer, the pressure is higher than at the top. The difference between these pressures leads to an upward **vertical force** exactly opposite to the weight of the fluid layer. The article [Pressure, temperature and heat](#) is a reminder of this equilibrium of a fluid at rest, of the origin of the pressure forces capable of compensating for the weight of a layer of this fluid and of this law of hydrostatics.

Let us imagine a small area within the fluid medium, of any shape and of volume  $V$ . It can be occupied by the fluid itself, by another fluid, or by a solid body. The **resultant of the pressure forces** exerted by the external medium on the surface of this domain is a vertical force, directed upwards: **Archimedes' thrust**. Its value  $F$  is given by the formula  $F = \rho g V$ , where  $\rho$  is the density of the fluid and  $g$  is gravity. It is exactly the weight  $P$  of the "**displaced fluid**", i.e. the fluid that should occupy the volume  $V$ .

The medium in domain  $D$  in Figure 1 also has its own weight  $P_m$ , directed downwards. This domain is therefore subject to both:

to the **Archimedes Thrust  $F$**  facing **upwards**,

at its **weight  $P_m$**  pointing **downwards**.



*Figure 1: Archimedean thrust and weight of a volume  $D$  in a fluid at rest. On the left  $P_a > 0$ , the  $D$  domain tends to rise, on the right  $P_a < 0$ , the  $D$  domain tends to fall. The  $Z$  direction is that of the ascending vertical. [Source: Author's figure]*

The resultant of these two forces is the **apparent weight** of the  $D$  domain:  $P_a = F - P_m$ . If this apparent weight is **negative**, and thus directed downwards,  $P_m$  prevails and  $D$  tends to **descend**. If Archimedes' thrust is the strongest, this apparent weight is directed upwards; this imposes **the ascent** of  $D$ . Thus, any fluid mass which is not subject to other constraints evolves towards a state of **stable equilibrium**, where the **heaviest** parts are at **the bottom**, the **lightest at the top**. But we will see in the next section that there are limits to this selection by density.

There are various reasons why the density may vary within the fluid. **Temperature can cause expansion** of portions of the fluid range. In addition, fluids such as air and water are mixtures and are **rarely homogeneous in composition**. These variations always result in certain portions of the fluid range becoming lighter or heavier and **moving** within the surrounding environment. We can observe this rise above the radiators of heated apartments, but also outside when **smoke** comes out of chimneys. Similarly, in certain Mediterranean volcanic regions, where the deep water is **warmer** than that of the surface layers, **upward currents** are produced locally and are often sought after by bathers and some curists. Conversely, these mechanisms can impose dives to the depths for portions heavier than the surrounding fluid.

The presence of airborne particles or droplets, known as **aerosols**, is another example of **local variation in density**.

In the air, it often appears as **patches of mist** or **fog**, apparently lying on bodies of water or fields (Figure 2).

In ponds and lakes, **suspended sludge** tends to sink to the bottom where it forms **layers of silt**.

In the oceans, which are subject to such a **slow global circulation** that the law of hydrostatics may apply, a phenomenon such as the plunge of the **Gulf Stream** in the vicinity of Greenland can be explained by the fact that its waters become heavier than their environment because they are both colder and saltier (read: [The slow and powerful ocean circulation](#)).

## 2. Stratification under the influence of gravity



*Figure 2: Example of atmospheric stratification, Alsek Valley, Yukon, Canada. [Source: Author's photo]*

**Gravity** thus imposes a steady state in resting fluids where density decreases with altitude. *Strictly speaking*, we speak of **stratification when a real discontinuity appears** between two fluid layers, as in the cocktails in the introductory image [1]. **Density** is the state variable [2] most directly involved since it is the one whose value can undergo a real jump imposed by gravity. The **concentration** of a particular species, when it causes this discontinuity, also undergoes this jump, clearly illustrated by the **change of colour** in cocktails, linked to a **change in density**. In the atmosphere, the presence of a **sea of clouds** that mountain hikers can observe from above is another example. But **pressure and temperature**, other variables characteristic of the state of the fluid, cannot undergo a jump; only their derivatives along the vertical can experience such a discontinuity, also linked to the change in density of the fluid.

It should be noted, however, that **the influence of pressure** on the density of water is practically **negligible** compared to the influence of temperature, which justifies the fact that water is often considered **incompressible**, whereas it is quite **expandable**. Typical values for its compressibility and expandability are 4. 10<sup>-10</sup> Pa<sup>-1</sup> and 2. 10<sup>-4</sup> K<sup>-1</sup>, respectively. More concretely, these values mean that in order to vary the density of water by 0.1%, a temperature difference of 5°C is sufficient, whereas a pressure difference of 20 times atmospheric pressure, i.e. a depth difference of 200 m, is required to achieve the same 0.1% variation.

### 3. A few examples



*Figure 3: Example of geological strata from sedimentation.  
[Source: Pixabay]*

Apart from those mentioned above, there are many examples of **stratification of liquid media**. When preparing a **dressing**, if the oil is poured slowly enough over the vinegar, it is observed that it floats on the surface and that **vigorous agitation** of the mixture is necessary to **destroy this stratification**, which would eventually recover. The formation of a fairly **homogeneous** café au lait usually requires stirring the mixture. The foams of beer or champagne, even if they are not pure liquids, also illustrate the fact that this stratification is the **only possible stable state** of these drinks, as long as the foam lasts.





Figure 4. The Sassenage fault fold in sediment-hosted limestone rocks. [Photo extracted from GEOL-ALP (<http://www.geol-alp.com>), by Maurice GIDON]

At sea, the settling of solid particles is at the origin of the **formation of sediments**, whose signature in the **limestone rocks** is visible on Figures 3 and 4. Quite easy to **date** using **Carbon 14** radioactivity, it is an essential means available to geologists to reconstruct the **history of the earth's crust** and that of the **species** that inhabited it at the time of sedimentation, now fossilised. The case of the **Sassenage fault fold** (Figure 4) reveals the succession of sedimentation phenomena, now transformed into layers of **hard limestone** rocks of different ages, separated by softer, less steep **marls**, on which vegetation develops. The **curvature** of these limestone strata and their fractures are evidence of the **powerful stresses** they underwent during the formation of the **Alpine massif** (Read: [The origin of life as seen by a geologist who loves astronomy](#)).

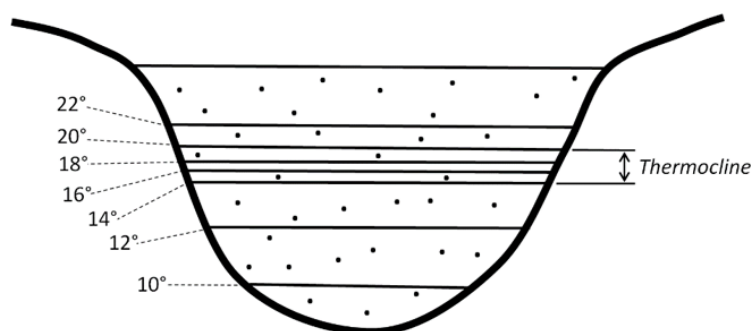


Figure 5: Schematic illustration of the separation between the surface layer, heated by summer sunshine and relatively light, and the deep water at a lower and almost invariant temperature. [Source: Author's figure]

The surface vicinity of lakes, seas and oceans, subject to **sunshine**, sees its **temperature rise** from spring to late summer. Since it lightens the upper parts, this heating is stable. However, this surface layer is constantly agitated by the **waves**, by the associated turbulence, but also by the **tides** in the case of the oceans. This agitation produces a **good mixing** and **uniformity of temperature** to a depth of the same order of magnitude as the wavelength of the waves: a few meters in lakes, several tens of meters in the oceans.

On the contrary, at **depth**, reduced to pure conduction in a quasi-immobile environment, **heat exchanges** are very **low** so that the temperature remains almost invariable. Between these two zones, there is a rather thin zone called the **thermocline**, where the temperature can vary by about **ten degrees** (Figures 5 and 6). The temperature of the water above the thermocline experiences significant **seasonal variations** due to variations in sunlight, while the temperature of the deep layers does not vary. See Figure 2 in the article [The Marine Environment](#).

## 4. Decanting and stratification have limitations

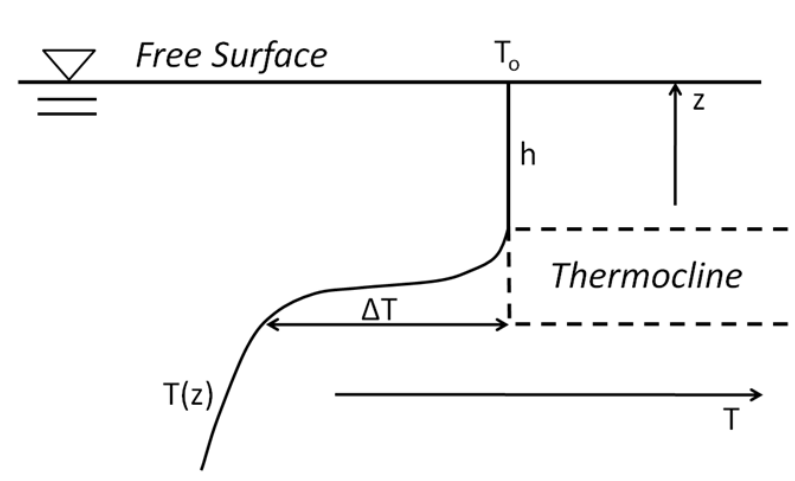


Figure 6. Temperature distribution as a function of depth in the presence of a well-formed thermocline. [Source: Author's figure]

Some air pollutant particles, especially **fine** (PM2.5) and **ultrafine** (PM0.1) particles, remain in suspension for a very long time, to such an extent that they are very **difficult to extract** (Read: [Air Polluting Particles: What are they?](#)). In mists and fogs, very small **droplets** remain suspended until they **evaporate**. On the contrary, in clouds darker than summer cumulus clouds, larger **hydrometeors** [\[3\]](#) **precipitate** and feed rain, snow or hail (Read: [What happens in clouds?](#)). Yet all these objects are subject to the **same gravity**. So what are the mechanisms that prevent the smaller ones from falling?

First, when a water droplet or a grain of dust falls into the surrounding air, due to its apparent weight, it **takes the place of the air** below it, and this air must **rise** above it.

This object, a droplet or grain, is then subjected to an **upward friction force** over its entire surface, which is proportional to the square of its mean radius ( $r^2$ ).

On the contrary, its **apparent weight** is proportional to the **volume**, i.e. the cube of its mean radius ( $r^3$ ).

On **large enough objects**, the competition between these **opposing forces** is decided in favour of **weight**, which forces the **object to fall**. But when the **radius  $r$**  becomes very **small**, the competition between these forces is won by **friction**, which **prevents** the object **from falling**. In practice, for polluting particles in the air as well as for droplets in fog, the **critical radius** below which objects can no longer settle is of the order of **ten microns**.

In **sludge**, **suspended solid particles** are subject to the **same competition**, but the density of these particles is quite close to that of water. As a result, the **critical radius** is much **larger**, of the order of a **hundred microns**.

Other mechanisms also help to prevent the stable suspension of very small objects. One of them is **Brownian motion**, which is analogous to the **agitation of molecules**, although it is much less intense at the micron scale than at the nanometer scale (Read: [Diffusion, the ultimate step in good mixing](#)). This motion causes each object to **collide** with **many** surrounding particles and molecules, giving it an effective cross-section much larger than its radius, and requiring larger passage sections for its **trajectory**.

## 5. When instabilities arise

Fluid domains **heated from below** can become **unstable** when the temperature difference between the bottom and the surface exceeds a critical threshold. This is the **Rayleigh-Taylor instability** [\[4\]](#). This critical threshold is very low in the case of the lower layers of the Earth's atmosphere. It is this instability which, in periods of very calm high pressure, after a complete night's rest and in the absence of wind, systematically creates **air agitation** as soon as the sun rises, which agitates the leaves and makes the flags flutter.

In **water**, this instability is easy to observe as soon as a **container is placed on the fire**. It is then influenced by th

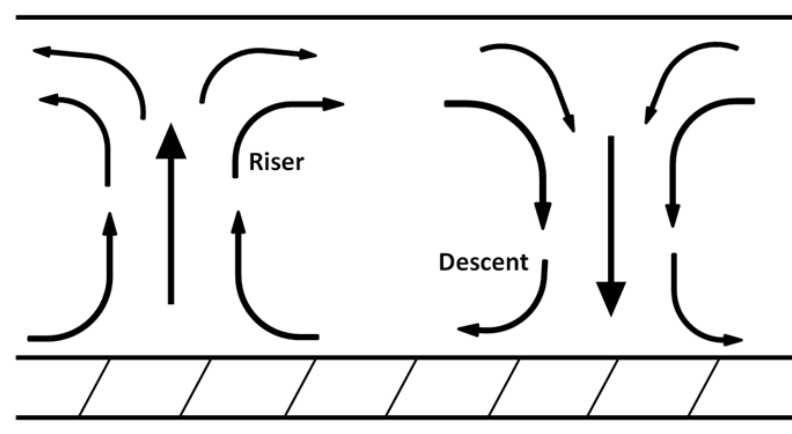


Figure 7. Formation of convective cells in a fluid layer heated from below. [Source: Author's figure]

e geometry of the vessel, with the liquid rising along walls that are hotter than the inner liquid, and falling back down in the central part.

Under more controlled conditions, such as some laboratory experiments where the depth of the liquid layer is much less than its horizontal extent, the formation of **cells** with a horizontal dimension close to the depth is observed. These cells, which are generally identical, are animated by a well-organized **convection movement**. The **liquid rises on one side**, in a kind of chimney, and **descends on the other** in a kind of well (Figure 7). In the chimney the apparent weight  $Pa$  of the liquid is directed upwards, while it is directed downwards in the well. Together these two forces form a **torque** which may be able to set the **entire cell in motion**, despite the braking provided by the viscosity of the liquid.

**Video 1:** Instability of Rayleigh-Taylor and its characteristic "mushrooms" illustrated with hot coloured water injected into cold water. [Source: Jens Niemeyer]

The organization of the **convective cell array** depends strongly on the **geometrical** parameters of the experiment. It can be a very regular **hexagonal** array, especially when the upper plane is a free surface. Between two solid walls forming a parallelepipedic cavity, one can rather observe **parallel rollers**. In nature **very varied** situations can be observed.

**Video 2:** Rayleigh-Bénard convective cells in heated oil mixed with small aluminium particles.

## 6. Some geophysical examples of convection

### 6.1. Thawing of the tundra



*Figure 8. Convective cells of about 30 m in size caused by thawing permafrost during summer 2019 in the Siberian tundra north of Taimyr Peninsula (approximate coordinates: 76 20 41 N 102 15 3 E). [Source: Photograph by Vladimir Melnik. <http://www.photoline.ru/photo/1571816322?rzd=au>]*

In the Arctic regions, the ground remains frozen deep all year round, forming what is called **permafrost**. Only a thin surface layer thaws in summer under the influence of sunlight. With **global warming**, however, we are beginning to observe a **deep thaw** here and there, which may be accompanied by **convective movements**. The photograph in Figure 8, taken in Siberia during the summer of 2019, shows a typical example: convective cells that are roughly rectangular and bounded by **earth ridges**.

The phenomenon seems to be explained as follows: an earthy soil that thaws becomes a **mud**, certainly thick, but fluid, especially below 4°C, the temperature at which the density of water reaches its maximum. The mud at the **bottom**, in contact with the ground still frozen at 0 or 1°C, is therefore **lighter** than the mud at the surface, at least when it does not exceed 7°C (water at 7°C has the same density as water at 1°C). A **network of chimneys** can therefore be formed where the **lighter mud will rise from the bottom**. But in the vicinity of wells, the water it contains tends to fall back to the bottom, leaving these **dried-up bulges** on the surface. At the scale of each cell there is then a **layer** of almost pure **water**, covering the mud that returns to the bottom. Here, the stirring causes a real **separation of the liquid and solid phases** in an initially homogeneous medium.

## 6.2. Navigating a volcano



*Figure 9. The Nyiragongo crater (D.R. Congo); at the bottom, the lava lake. [Source: Photograph by the author]*

There are a very small number of volcanoes on Earth whose crater contains a **permanent lava lake**. The largest is that of **Nyiragongo**, in Central Africa, with a diameter of nearly 250 m (Figure 9). This size allows the observation of a large number of relatively stable **convective cells**, which form a **spider web** network. Their shape is irregular, but approximately **polygonal**. The red lines in Figure 10 show the areas where the **hot lava rises from the bottom**. On contact with air, it cools and takes on a



**dark hue**. The dark areas are the wells through which the lava returns to the bottom.



*Figure 10. Convective structures in the lava lake of Nyiragongo (D.R. Congo) [Source: Photograph by the author]*

One can be surprised by the **fineness of the red lines** in Figure 10: their surface is much smaller than that of the descending areas. The reason for this is the **considerable variation in the viscosity of the lava** near its melting point: around 1400°C, it is very fluid; as soon as it has lost 50 or 100°, it becomes pasty and its viscosity is multiplied by 100. As a result, its movement is **much slower** than that of the rising lava. The rising flow rate being equal to the descending flow rate, if **V** is the speed of the fluid, and **S** is the passage section, the flow rate is **SV** and its conservation imposes the equality  **$SV_{\text{ascending}} = SV_{\text{descending}}$** . The sections are thus in the **inverse ratio of the velocities**: at much lower velocity, much larger section.

### 6.3. The particular case of the Erta Ale



*Figure 11. Convective cells on Lake Erta Ale (Ethiopia, 2018) in the presence of the natural dam formed at the left end by a sudden landslide of the cliffs surrounding this crater. [Source: Photograph by the author]*

A small **lava lake** is still present in the crater of this lake in Ethiopia. Following its eruption in 2017, **another crater** temporarily formed a few kilometres from the main crater, but with a **very different behaviour**, as it was traversed by a lava **flow** that escaped through a spillway visible at the left end of Figure 11. It was a sudden collapse of part of the cliff surrounding the lake that abruptly formed a **natural dam** and closed off this outlet. The formation of this obstacle caused both a **standing wave train** between the dam and the crater over the entire surface of the lake and the formation of **convective cells**. Here too, the **clear areas** are made up of **hot lava** from the bottom. The convective cells are roughly **rectangular**.





*Figure 12. After the natural dam collapsed, the lava flow from the crater (top right) was restored. Convective structures elongated by the flow remain visible. [Source: Photograph by the author]*

When the **dam collapsed**, the waves disappeared and the flow towards the outlet was restored. This flow caused the **convective cells to elongate** to the left, as shown in the photograph in Figure 12. The clear lines, corresponding to **lava upwelling**, oriented in the direction of flow became almost **linear**. They show that **convective motions remain** in the presence of the flow.

## 7. Messages to remember

In all **geophysical fluids** **gravity** imposes a variation in **density**, decreasing with **altitude**.

**Stratification** is manifested by **jumps** in density, giving rise to layers of material of different densities, such as fog in the air or mud on the bottom of lakes or seas.

In large **water** basins, **sunlight** causes the seasonal formation of a **thermocline**, which separates a warm, light surface layer from deep water whose temperature remains almost invariant.

**Very small** airborne **objects**, such as liquid droplets or fine particles, cannot **settle** due to the friction of the surrounding fluid, which would outweigh their apparent weight.

**Hydrodynamic instabilities**, especially in fluids **heated from below**, can destroy certain equilibriums and oppose possible stratification.

The **lava** present in some volcanic craters is also a **natural fluid**. Variations in temperature induce **strong variations in viscosity** that give rise to remarkable **convective structures**.

## Notes and References

Cover image.

[1] Pedlosky J., Geophysical fluid Dynamics, Springer-Verlag,<sup>2nd</sup> edition, 1987

[2] A state variable is any quantity, such as density, pressure, temperature and concentration of each species in a mixture, that characterizes the equilibrium in which the fluid is in equilibrium. These quantities are related by the equation of state of the fluid.

[3] Hydrometeors are airborne objects made up of sets of water drops or ice particles suspended in the air: rain, drizzle, snow,

hail, fog.

[4] Drazin P. G. and Reid W. H., Hydrodynamic stability, Cambridge University Press, 1981

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